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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/954,557	
	Filing Date	September 17, 2001	
	First Named Inventor	Masoud Molaei	
	Group Art Unit	3731	
	Examiner Name	TBD	
Total Number of Pages in This Submission	19	Attorney Docket Number	ENDOV-55675

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) (Formal) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Notice to File Missing Parts, Return Postcard
Remarks		 24201 PATENT TRADEMARK OFFICE

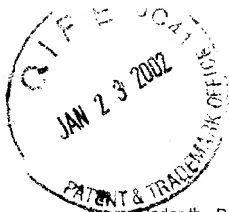
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John V. Hanley, Registration No. 38,171 FULWIDER PATTON LEE & UTECHT, LLP
Signature	
Date	December 4, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Dec. 4, 2001		
Typed or printed name	John V. Hanley, Reg. No. 38,171	
Signature		Date December 4, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS
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PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete if Known		
		Application Number	09/954,557	
		Filing Date	September 17, 2001	
		First Named Inventor	Masoud Molaei	
		Examiner Name	TBD	
		Group Art Unit	3731	
TOTAL AMOUNT OF PAYMENT (\$)		40.00	Attorney Docket No.	ENDOV-55675

METHOD OF PAYMENT	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	
Deposit Account Number	06-2425
Deposit Account Name	Fulwider Patton
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	

2. <input checked="" type="checkbox"/> Payment Enclosed:
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other

FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)				(\$)	

2. EXTRA CLAIM FEES					
Total Claims		Extra Claims	Fee from below	Fee Paid	
Independent Claims		-20** =	X		
Multiple Dependent		-3** =	X		
Large Entity Fee Code (\$)					
Small Entity Fee Code (\$)					
Fee Description					
103	18	203 9	Claims in excess of 20		
102	80	202 40	Independent claims in excess of 3		
104	270	204 135	Multiple dependent claim, if not paid		
109	80	209 40	** Reissue independent claims over original patent		
110	18	210 9	** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2) (\$)					

FEE CALCULATION (continued)						
3. ADDITIONAL FEES						
	Large Entity		Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath	\$130.00	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
139	130	139	130	Non-English specification		
147	2,520	147	2,520	For filing a request for ex parte reexamination		
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
115	110	215	55	Extension for reply within first month		
116	390	216	195	Extension for reply within second month		
117	890	217	445	Extension for reply within third month		
118	1,390	218	695	Extension for reply within fourth month		
128	1,890	228	945	Extension for reply within fifth month		
119	310	219	155	Notice of Appeal		
120	310	220	155	Filing a brief in support of an appeal		
121	270	221	135	Request for oral hearing		
138	1,510	138	1,510	Petition to institute a public use proceeding		
140	110	240	55	Petition to revive - unavoidable		
141	1,240	241	620	Petition to revive - unintentional		
142	1,240	242	620	Utility issue fee (or reissue)		
143	440	243	220	Design issue fee		
144	600	244	300	Plant issue fee		
122	130	122	130	Petitions to the Commissioner		
123	50	123	50	Processing fee under 37 CFR 1.17(q)		
126	180	126	180	Submission of Information Disclosure Stmt		
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40.00	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		
179	710	279	355	Request for Continued Examination (RCE)		
169	900	169	900	Request for expedited examination of a design application		
Other fee (specify) _____						
*Reduced by Basic Filing Fee Paid						
SUBTOTAL (3)						(\$)
						170.00

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	John V. Hanley	Registration No. (Attorney/Agent)	38,171
Signature		Telephone	310-824-5555
		Date	Dec. 4, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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COPY OF PAPERS
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PATENT
Docket No. ENDOV-55675

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Masoud Molaei

SERIAL NO.: 09/954,557

FILED: September 17, 2001

TITLE: AVOIDING STRESS INDUCED
MARTENSITIC TRANSFORMATION IN
NICKEL TITANIUM ALLOYS USED IN
MEDICAL DEVICES

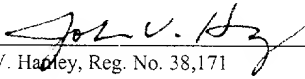
DATE: December 4, 2001

Examiner: TBD

Group Art No.: 3731

Certificate of Mailing Under 37 C.F.R. § 1.8

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John V. Hailey, Reg. No. 38,171

SUBMISSION OF MISSING PARTS OF APPLICATION

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted, mailed October 15, 2001 (copy attached-Part 2), applicant submits herewith for the above-identified application, a check in the amount of \$170.00, the surcharge fee for late filing of oath or declaration (\$130.00) together with an executed Declaration and Power of Attorney for a Patent Application, Submission of Formal Drawings together with four (4) sheets of formal drawings, and recordation filing fee (\$40.00) together with an executed Assignment document and Recordation Cover Sheet.

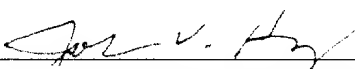
Serial No. 09/954,557

PATENT

Please credit any overpayment or charge any additional fees in this matter to our Deposit
Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By: 
John V. Hanley, Reg. No. 38,171

JVH:LAK:bg
Enclosures

Howard Hughes Center
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Fax: (310) 824-9696
Customer No. 24201
223304.1



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Masoud Molaie

Serial No. 09/954,557

Filed: September 17, 2001

For: AVOIDING STRESS-INDUCED
MARTENSITIC TRANSFORMATION
IN NICKEL TITANIUM ALLOYS
USED IN MEDICAL DEVICES

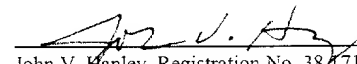
Examiner: TBD

Group Art Unit: 3731

Docket No. ENDOV-55675

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John V. Hanley, Registration No. 38,171

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Date: December 4, 2001

SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

ATTENTION: Official Draftsman

Dear Sir:

Applicant has enclosed four (4) sheets of formal drawings. Please substitute these drawings
for the drawings originally filed in this case.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By: 

John V. Hanley
Registration No. 38,171

JVH:LAK:bg
Enclosures
221850.2

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